

# 2022/23 Academic Framework Regulations for Undergraduate Programmes, where delivery commenced prior to September 2016 only

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# **RELEVANT DOCUMENTS**

QAA UK Quality Code Competition Markets Authority Guidance

# **RELATED POLICIES & DOCUMENTS**

Student Handbooks Programme Specifications Programme Guides

# Liverpool John Moores University

# 2022/23 Academic Framework Regulations for Undergraduate Programmes, where delivery commenced prior to September 2016 only

The regulations apply to all LJMU taught undergraduate programmes and Integrated Master's degrees that lead to a validated award, wherever delivered and **where delivery of the programme of study began prior to 2016-17.** Any exceptions to the regulations must comply with sections UG.A1.5-UG.A1.8. Where 'Director' appears in the text this means the Director of School or an equivalent post holder who will be responsible for ensuring the School, Department, Centre or Institute's compliance with the regulations.

The Academic Framework regulations should be read in conjunction with the relevant academic policies: https://www.ljmu.ac.uk/academic-registry/staff/policies

For further information please contact your Assistant Academic Registrar.

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# Section A Structural Regulations

#### UG.A1 Introduction

- UG.A1.1 The purpose of the Academic Framework is to ensure equity of treatment for students. This is achieved by ensuring that academic judgement operates within clearly defined parameters and that student-facing processes are transparent.
- UG.A1.2 The University operates a credit-based Academic Framework applicable to all taught LJMU programmes that lead to a validated award, wherever delivered, subject to the proviso outlined in UG.A1.5. The Academic Board, or its delegated authority, approves all programmes of study and modules, including any subsequent amendments.
- UG.A1.3 The University may make changes to a programme of study or module where such changes are deemed to be beneficial to students, or are minor in nature and unlikely to impact negatively upon students or become necessary due to circumstances beyond the control of the University. Such events are rare, but where this does happen the University operates a policy of consultation, advice and support to all enrolled students affected by a proposed change to their programme or module. Where changes are proposed which will affect existing students, programme teams must ensure that those students will not be disadvantaged by the change. Examples of essential change include: changes enabling new research to be brought into the curriculum, or changes that are subsequently agreed in response to concerns expressed by students, external examiners or professional, statutory and regulatory bodies (PSRBs). Oversight and approval of changes through review and minor change processes provide an additional safeguard to the student experience of continuing students.

- UG.A1.4 Award programmes establish a clear link between student input, in terms of learning activity, and student achievement, in terms of learning outcomes. Thus, learning activity is defined as the amount of time needed for a student to achieve the defined learning outcomes for a module. To be eligible for a named award students must satisfy the credit requirements for that award (see UG.B2-UG.B4).
- UG.A1.5 Subject to UG.A1.7 all programmes leading to LJMU awards are expected to operate within the Academic Framework. Exceptionally, where a LJMU award or programme is unable to operate within the Academic Framework, the programme team, prior to validation / programme review, may apply to the Academic Planning Panel to permit the programme to operate outside the Framework.
- UG.A1.6 The Academic Planning Panel will consider such applications only where a professional body's regulatory framework necessitates the design and/or operation of the programme to lie outside the Academic Framework. In these circumstances the professional body's regulations take precedence.
- UG.A1.7 A programme operating within the Framework may be unable to comply with all the requirements of the Framework. In these circumstances the programme team may apply to the Education Committee for a programme variance. Applications for variance will be considered against agreed criteria that the variance is a nationally published condition of an accrediting / professional body, without which the programme could not be accredited. Applications for variance from the regulations governing module size and/or delivery may also cite specific academic conditions, such as subject or disciplinary sector practice.
- UG.A1.8 Applications for variance must be submitted before validation and resubmitted prior to subsequent validations.

- UG.A1.9 Modification to the Academic Framework regulations requires the approval of the Academic Board. Programme rules are an additional requirement of the University's Academic Framework regulations. Programme rules are detailed in the programme specification and include requirements for admission, progression and award. Any minor changes in the approved rules for a programme must be approved by the appropriate Faculty Quality Assurance and Enhancement Committee. When changes are introduced, the timing of their introduction should be made clear in the documentation. All such changes must be communicated to the students, well in advance of implementation.
- UG.A1.10 All programmes must be taught and assessed in English.

#### UG.A2 Credit

- UG.A2.1 One credit equates to ten notional hours of learning. The standard academic year for a full-time student studying an undergraduate programme equates to 120 credits and 1200 notional hours of learning.
- UG.A2.2 The maximum amount of credit from prior learning and/or credit transfer that may count toward an undergraduate award is 67%. Any alternative limitation on the amount of credit which may be derived from prior learning and/or credit transfer must be explicitly stated, and approved, in the documentation for validation. Any alternatives are likely to be exceptional and must be supported by clear evidence of the requirement for alternative credit amounts.
- UG.A2.3 The maximum amount of credit from prior learning and/or credit transfer that may count toward an integrated Master's award is 50%. Any alternative limitation on the amount of credit which may be derived from prior learning and/or credit transfer, must be explicitly stated, and approved in the documentation for validation. Any alternatives are likely to be exceptional and must be supported by clear evidence of the requirement for alternative credit amounts.

UG.A2.4 Within the constraints outlined in UG.C8.1 and UG.C8.5, Faculty Recognition Groups will determine whether credit is to be recognised or awarded in respect of prior learning and whether that credit will be mark-bearing.

#### UG.A3 Modules

- UG.A3.1 The module pro-forma is the validated source of information about the module. It includes the level, credit rating, aims, learning outcomes, the assessment components and weightings, learning activities and outline syllabus.
- UG.A3.2 Each module must be ascribed to a particular level. However, it is possible that the same curriculum content could be offered in modules with differing levels provided that the learning outcomes and assessment criteria relate to the defined levels of the modules.
- UG.A3.3 In undergraduate programmes of 240 credits or more the standard undergraduate module size is 24 credits. Modules may also comprise 12, 36, 48 and 60 credits. Students may enrol upon only one 12 credit module at each level except where specific exemption from this regulation is granted by the Academic Planning Panel prior to validation / review.

# UG.A4 Programmes

UG.A4.1 The programme title must be clear, unambiguous and accurately represent the nature and field(s) of study undertaken. Every validated University award must have a programme specification. A programme specification is a concise description of the intended learning outcomes of a programme and how these outcomes can be achieved and demonstrated. Programme and module rules must align with these University Academic Framework regulations, except and unless Academic Board, or its delegated authority, has agreed to a variance (see UG.A1.7).

UG.A4.2 In undergraduate programmes of 240 credits or more all level 4 modules are designated as core. These programmes must include a minimum of 12 core credits (pro rata) of Work Related Learning and self-awareness. The self-awareness element must be embedded at level 4.

# Section B Award Regulations

# UG.B1 Introduction

- UG.B1.1 All awards offered by LJMU should be consistent and comparable in standards with awards granted and conferred throughout Higher Education in the U.K. The University's awards adhere to the criteria and qualification descriptors of *The Frameworks for Higher Education Qualifications of UK Degree-Awarding Bodies* (2014).
- UG.B1.2 The Framework for Higher Education qualifications is designed to meet the expectations of the Bologna Declaration and thus aligns with *The Framework for Qualifications of the European Higher Education Area* (FQ-EHEA).
- UG.B1.3 The European Credit Transfer and Accumulation System (ECTS) is based on the principle that 60 ECTS credits are equivalent to the learning outcomes and associated workload of a typical full-time academic year of formal learning. Two LJMU credits are equivalent to one ECTS credit.
- UG.B1.4 Proposals for new <u>awards</u>, within the Academic Framework, e.g., BNur (Bachelor of Nursing) are considered by the Academic Planning Panel on behalf of Academic Board.
- UG.B1.5 Proposals for new <u>programmes</u> are proposed by each Faculty Management Team and considered by the Academic Planning Panel on behalf of Academic Board. New proposals will be considered in the context of the University's strategic plan, the number of students to be recruited, the range of the University's existing programmes, their relationship to each other and to the awards of other bodies. It is only possible to award a qualification as an alternative exit award when the award has been validated as part of the programme's list of exit awards.

#### UG.B2 Undergraduate Awards

UG.B2.1 Bachelor's degrees with honours and integrated Master's degrees are classified (see UG.C8). All other undergraduate qualifications are graded.

# UG.B2.2 Bachelor's degree with honours

360 credits: 120 at Level 4, 120 at Level 5, 120 at Level 6. Where such programmes are delivered within LJMU, they must comprise a clearly definable core work-related learning element (see UG.A4.2). Sandwich honours degrees require, in addition, satisfactory completion of a sandwich placement and/or a certificate of professional training and practice-based honours degrees may require satisfactory completion of additional learning for which credit is not awarded.

# UG.B2.3 Bachelor's degree

300 credits: 120 credits at Level 4, 180 at Levels 5 and 6, of which at least 60 must be at Level 6. Where programmes are validated with an initial target award of Bachelor's degree for delivery within LJMU, they must comprise a clearly definable core work-related learning element (see UG.A4.2). Sandwich degrees require, in addition, satisfactory completion of a sandwich placement and/or a certificate of professional training and practice-based degrees may require satisfactory completion of additional learning for which credit is not awarded.

# UG.B2.4 Awards associated with Bachelor's degrees and with Bachelor's degrees with honours

Bachelor of Arts with honours, BA (Hons), Bachelor of Arts, BA Bachelor of Design with honours, BDes (Hons), Bachelor of Design, BDes, Bachelor of Education with honours, BEd (Hons), Bachelor of Education, BEd, Bachelor of Engineering with honours, BEng (Hons), Bachelor of Engineering, BEng, Bachelor of Laws with honours, LLB (Hons), Bachelor of Laws, LLB, Bachelor of Science with honours, BSc (Hons), Bachelor of Science, BSc.

# UG.B2.5 Foundation degree

240 credits, 120 at Level 4 and 120 at Level 5.

Where such programmes are delivered within LIMU, they must comprise a clearly definable core work-related learning element (see UG.A4.2). Awards associated with Foundation degrees: The Foundation degree in Arts (FdA); the Foundation degree in Engineering (FdEng); the Foundation degree in Science (FdSc). A Foundation degree must have an identified progression route onto at least one named honours degree programme.

#### UG.B2.6 Diploma of Higher Education (DipHE)

240 credits, 120 at Level 4 and 120 at Level 5. Where programmes are validated with an initial target award of Diploma of Higher Education for delivery within LJMU, they must comprise a clearly definable core work-related learning element (see UG.A4.2).

#### UG.B2.7 Higher National Diploma (HND)

A minimum of 240 credits from Levels 4, 5 and 6. A minimum of 132 credits must be at Level 5 or above. The HND is a titled award. The award is vocational in nature, with an emphasis upon skills development. Where such programmes are delivered within LJMU, they must comprise a clearly definable core work-related learning element (see UG.A4.2).

#### UG.B2.8 Higher National Certificate (HNC)

120 credits - 180 credits, of which a minimum of 96 credits must be at Level 4; the remaining credits may be taken at Levels 4, 5 or 6. The HNC is a titled award. The award is vocational in nature, with an emphasis upon skills development.

#### UG.B2.9 Graduate Diploma

120 credits at Level 6 (for programmes with graduate entry only).

UG.B2.10 Certificate of Higher Education (CertHE) 120 credits at Level 4. UG.B2.11 Certificate of Academic Development (CAD)

Minimum of 12 credits to a maximum of 60 credits at Level 4. The award is untitled and is designed to enable people to sample higher education.

- UG.B3Integrated Master's Degrees480 credits:120 at each of levels 4, 5, 6 & 7.
- UG.B3.1 Sandwich integrated Master's degrees require, in addition, satisfactory completion of a sandwich placement and/or a certificate of professional training. Integrated Master's Degrees may be classified or graded. The integrated Master's degrees extend the depth and breadth of honours degree programmes.

UG.B3.2 Awards associated with Integrated Master's degrees

Master of Chemistry (MChem) Master of Engineering (MEng) Master of Nursing (MNurse) Master of Pharmacy (MPharm) Master of Physics (MPhys)

# UG.B4 Other Awards

These awards are graded (see UG.C8.6.1).

- UG.B4.1 **Foundation Certificate** 120 credits at Level 3.
- B4.2 Certificate of Professional Development (CPD) / Graduate Certificate

4 to 60 credits, at Levels 4, 5, 6 and/or 7 (the Graduate Certificate is at Level(s) 6 and/or 7 only). The award is a titled award, reserved for validated programmes of study related to professional areas. Each CPD must be clearly identified with the majority of credit at a single level. Where such a programme of study comprises 60 credits (drawn from Levels 6 and/or 7) and is undertaken by a graduate, this may lead to the award of a Graduate Certificate.

# Section C Assessment Regulations

## UG.C1 Introduction

- UG.C1.1 These regulations apply only to summative assessments conducted for the purposes of awarding credit or of the right to progress, or of determining a final award. The purpose of summative assessment is to enable students to demonstrate that they have achieved module learning outcomes.
- UG.C1.2 These regulations apply to assessment on all programmes within the University's Academic Framework, wherever delivered. All rules for programmes leading to an award must be consistent with the Academic Framework except where a programme has been allowed to operate outside the Framework (see UG.A1.5) or has been granted a variance by the Education Committee (see UG.A1.7). Assessment information must be described in the definitive programme specification and in the module specification(s).
- UG.C1.3 The summative assessment tasks for an individual module must be:
  - (i) aligned with the module learning outcomes;
  - (ii) specified on the module proforma.
- UG.C1.4 Every learning outcome must be assessed summatively. Normally there must be no more than three summative assessment tasks per 24 credit module.
- UG.C1.5 All programmes must have a feedback strategy in accordance with Academic Board policy which explains the purpose of feedback (diagnostic, formative and summative) and how and when feedback will be provided. Documentation for students must specify how and when students will receive feedback, including feedback on examinations.

#### UG.C2 Marking and Moderation

- UG.C2.1 There must be marking and moderation procedures at all Levels, consistent with the University's moderation policy. All members of the teaching staff of the University are examiners of the University. The Director has responsibility for ensuring that the processes of marking and moderation operate in accordance with the University regulations. Coursework and written examinations must be anonymised prior to marking, in accordance with the University's policy. <u>https://www.ljmu.ac.uk/about-us/public-</u> information/academic-quality-and-regulations/academic-policy
- UG.C2.2 Staff who have a personal interest in, or relationship with a student being assessed, must declare an interest to the Director and s/he must ensure that, where the marking of anonymised coursework and written examinations policy does not apply, other members of the wider team mark and moderate the relevant work.
- UG.C2.3 Coursework, which is submitted late (except where there is an agreed extension) will be recorded as a non-submission.
- UG.C2.4 Subject to the proviso outlined in UG.C4.6, credit is awarded for those modules in which a pass has been achieved. The pass mark for modules is 40% or a 'Pass' grade; however, where 'competency thresholds' are included, 'fitness to practise' must be demonstrated for credit to be released (see UG.C2.5). Additionally, credits may be awarded by compensation (see UG.C7.7) or by the Recognition of Prior (Experiential) Learning (see UG.A2.2).
- UG.C2.5 'Fitness to practise' thresholds in modules require the demonstration of competency in professional practice to be satisfied before credit may be released. Such modules are only permitted where the demonstration of competency in these modules is required as a condition of professional accreditation of the award. This requirement will be evidenced by a written statement from the professional institute or equivalent body.

# UG.C3 External Examiners

- UG.C3.1 An External Examiner appointment is required for all or part of each programme of study which leads to a University award. Faculty Quality Assurance and Enhancement Committees will nominate and recommend External Examiner(s) for appointment by the Academic Board and seek the prior approval of the appointment by the appropriate external professional body where this is required.
- UG.C3.2 External Examiners must be associated with all summative assessments and module results. External Examiners must have full input into the moderation process and will be expected to confirm their involvement in the moderation process. They are entitled to attend the Board of Examiners (UG.C6.5) and have the right to declare any matter a matter of principle.
- UG.C3.3 External Examiners will in addition:
  - ensure consistency and fairness in the consideration of all students and that the standard of the award is maintained;
  - (ii) ensure that the assessments are conducted within the approved regulations;
  - (iii) approve the form and content of all summative assessments in order to ensure that all students will be assessed fairly;
  - (iv) judge whether the students have fulfilled the objectives of the programme, the learning outcomes of the modules and reached the required standard;
  - (v) have access to all assessed work and judge students impartially on the basis of the work submitted for assessment and moderate the marks of internal examiners accordingly;
  - (vi) be able to compare the performance of students with that of their peers on comparable programmes elsewhere;
  - (vii) participate in the work of the Board of Examiners;
  - (viii) report annually to the University on the effectiveness of the assessments and any lessons to be drawn from them.

UG.C3.4 The purpose of the External Examiner's report is to enable the Academic Board to judge whether the programme is meeting its stated objectives and to make any necessary improvements, either immediately or at the next review as appropriate. External Examiners have authority to report concerns about standards of assessment and performance, particularly where they consider that assessments are being conducted in a way that jeopardises either the fair treatment of individual students or the standard of the University's awards.

# UG.C4 Illness, Absence and Personal Circumstances

Procedure notes on the operation of Personal Circumstances and Special Mitigation are available <u>https://www.ljmu.ac.uk/about-</u> us/public-information/student-regulations/guidance-policy-andprocess

- UG.C4.1 Students with long-term illness or disability who require ongoing support should contact Student Advice and Wellbeing. Disabled students and any other students with agreed assessment requirements formally documented in an Individual Student Learning Plan will have provisions put in place dependent on individual need (which will be determined via a Needs Assessment and in discussion with the student and relevant staff within the University).
- UG.C4.2 Where illness or other verifiable cause will prevent a student from completing an assessment, s/he should contact the Module Leader as soon as possible. The Module Leader may, on receipt of appropriate evidence, agree one or more of the actions listed below.
  - (i) extend an assessment deadline;
  - set an alternative assessment, provided the alternative task meets the learning outcomes of the original assessment task. The decision to set an alternative assessment must be agreed no later than one week in advance of the original assessment\_ item deadline; recorded and reported by the Module Leader to the Board of Examiners.

(iii) consult with the Programme Leader who may waive an assessment item provided the marks allocated to such items constitute in total no more than 34% of the module mark. All assessment waivers must be recorded and reported by the Programme Leader to the Board of Examiners.

Any extension deadline / alternative assessment must allow all processes, such as moderation and mark verification, to be completed prior to the Board Reporting Deadline (BRD). It is also recommended that the student is directed to Student Advice and Wellbeing who may be able to provide other appropriate support for the student.

# UG.C4.3 Personal Circumstances

- UG.C4.3.1 A student may make an application for personal circumstances where serious and exceptional factors outside a student's control, which adversely affected their performance during their study and not already taken into account by an Individual Student Learning Plan (ISLP) prevent them from attempting a summative assessment task(s), <u>and</u> where the possibility of alternative actions as described in UG.C4.2 are not possible or are inappropriate.
- UG.C4.3.2 Personal Circumstances requests may normally only be made no later than five working days after the affected assessment event. This is to enable appropriate consideration by the Faculty Approval Panel in a timely manner and to ensure that the criteria for progression are applied consistently and fairly to all students.

# UGC4.3.3 Fit to Attempt

Students who attempt a summative assessment task declare themselves 'fit to attempt' that assessment task and claims for personal circumstances are not permitted for that assessment task.

UG.C4.4 The Personal Circumstances Panel (see also UG.C6.9) will consider the personal circumstances application presented by the student. The decision of the panel will be reported to the Board of Examiners.

- UG.C4.5 In the case of a valid personal circumstance application, the assessment is deferred to the next appropriate opportunity. If the claim is deemed to be not valid the student's module mark will stand.
- UG.C4.6.1 A student who declares themselves 'fit to attempt' at the start of a time-limited summative assessment item but whose performance was adversely and seriously affected by circumstances that occurred during the assessment to such an extent that the assessment item could not be completed may submit a claim for 'special mitigation'.
- UG.C4.6.2 The Personal Circumstances Panel (see also UG.C6.9) will consider the special mitigation application presented by the student. The decision of the panel will be reported to the Board of Examiners.
- UG.C4.6.3 In the case of a valid special mitigation application, the assessment item attempt is either:
  - declared null and void, deferred to the next appropriate opportunity and reported to the Board of Examiners or
  - the module leader will assign a mark for the assessment item where there is sufficient evidence to do so and where this is approved by the Chair of the Board of Examiners.
- UG.C4.6.4 A student who has declared themselves 'fit to attempt' a summative assessment item may request that a Personal Circumstances Panel withdraws their declaration if there is clear evidence that the student was not in a fit state to decide whether they were fit to submit/sit the assessment concerned.
- UG.C4.7.1 Where the Personal Circumstances Panel does not accept that the student has experienced serious and exceptional factors outside of their control that adversely affected their performance during their study and which are not already taken into account by an Individual Student Learning Plan (ISLP) this ends consideration of the personal circumstances application.

- UG.C4.7.2 Where the Personal Circumstances Panel does not accept that the student's performance was adversely and seriously affected by circumstances that occurred during the assessment to such an extent that the assessment item could not be completed this ends consideration of 'special mitigation'.
- UG.C4.8 A student may be unable to make a personal circumstances or special mitigation application within the five working days' time frame. All applications made after this deadline are deemed to be late. Late applications must be submitted with a justification for the inability of the student to submit within the established timeframe.
- UG.C4.9 The Personal Circumstances Panel will determine whether the justification for late submission is valid or not. If the reason for late submission is accepted as valid, then the standard personal circumstances/special mitigation process will apply. Where the Personal Circumstances Panel does not accept the justification for late submission this ends consideration of the application.
- UG.C4.10 Late applications will not be considered after the Board Reporting Deadline (BRD) relevant to the module affected by the claim.
- UG.C4.11.1 In exceptional circumstances, where a student can demonstrate with the support of independent documentary evidence, that they could not have reasonably been expected to have complied with the University's regulations owing to the specific nature of the issues involved, an application submitted beyond this timeframe may be considered. Where appropriate, if the late application is upheld after the relevant Board of Examiners has met, the Chair of the Board will be notified and the student's academic profile will be reconsidered.
- UG.C4.11.2 In extreme circumstances, the University reserves the right to apply Special Mitigation following a students' attempt at any assessment item.

UG.C4.11.3 Students have the right to appeal against the decision of the Personal Circumstances Panel in accordance with the procedures outlined in UG.C9.

#### UG.C5 Academic Misconduct

Procedure notes on the operation of Academic Misconduct Panels are available at <u>https://www.ljmu.ac.uk/about-us/public-</u> <u>information/student-regulations/academic-misconduct</u>

- UG.C5.1 Academic Misconduct is deemed to cover all deliberate attempt(s) to gain an unfair advantage in assessments. This includes cheating, plagiarism, unauthorised collusion or any other deliberate attempt to gain an unfair advantage in summatively assessed work. Summative assessment includes all forms of written work (including in-class tests), e-assessments, presentations, demonstrations, viva voces, recognition of prior learning portfolios and all forms of examination.
- UG.C5.2 It is the responsibility of the Programme Leader to provide students with clear guidance and instruction early in the programme, on the appropriate preparation for and presentation of work, including writing and citation requirements. This guidance must clearly indicate that all types of academic misconduct are considered to be serious. The guidance must also indicate the consequence of, and penalties associated with, academic misconduct (see UG.C5.5.7).
- UG.C5.3 It is the responsibility of the student to take reasonable precautions to guard against unauthorised access by others to his/her work, however stored in whatever format, both before and after assessment.

#### UG.C5.4.1 Cheating includes:

- (i) any form of communication with, or copying from, any other source during an examination;
- (ii) communicating during an examination with any person other than an authorised member of staff;

- (iii) introducing any written, printed or other material into an examination (including electronically stored information) other than that specified in the rubric of the examination paper;
- (iv) gaining access to unauthorised material in any way during or before an assessment;
- (v) the unauthorised use of mobile phones or any other communication device during an assessment or examination;
- (vi) the submission of false claims of previously gained qualifications, research or experience in order to gain credit for prior learning;
- (vii) the falsification of research data, the presentation of another's data as one's own, and any other forms of misrepresentation in order to gain advantage;
- (viii) the submission of work for assessment that has already been submitted as all or part of the assessment for another module without the prior knowledge and consent of the Module Leader for the subsequent assessments;
- (ix) the submission of material purchased or commissioned from a third party, such as an essay-writing service, as one's own.
- UG.C5.4.2 Plagiarism is defined as the representation of the work, artefacts or designs, written or otherwise, of any other person, from any source whatsoever, as the student's own. Examples of plagiarism may be as follows:
  - the verbatim copying of another's work without clear identification and acknowledgement including the downloading of materials from the Internet without proper referencing of materials;
  - the paraphrasing of another's work by simply changing a few words or altering the order of presentation, without clear identification and acknowledgement;
  - (iii) the unidentified and unacknowledged quotation of phrases from another's work;
  - (iv) the deliberate and detailed presentation of another's concept as one's own.

# UG.C5.4.3 Collusion Includes:

- the conscious collaboration, without official approval, between two or more students in the preparation and production of work which is ultimately submitted by each in an identical or substantially similar form and/or is represented by each to be the product of his or her individual efforts;
- (ii) where there is unauthorised co-operation between a student and another person in the preparation and production of work which is presented as the student's own.
- UG.C5.5.1 All cases of suspected Academic Misconduct as defined above must be referred to the Assistant Academic Registrar or nominee. If there is sufficient evidence to support the finding of a prima facie case of Academic Misconduct, the Assistant Academic Registrar or nominee will initiate an Academic Misconduct Panel (AMP). The type of assessment and the alleged academic misconduct may prohibit the marking of the assessment and any subsequent feedback to the student, pending the outcome of the investigation. Where the decision of the AMP is that the allegation is not proven, then the work should be assessed and feedback provided to the student within 15 working days from the date of the AMP.
- UG.C5.5.2 Terms of reference and operation of Academic Misconduct Panels:
  - (i) to consider allegations of academic misconduct;
  - (ii) to determine whether an allegation of academic misconduct is proven or not proven based on the evidence presented;
  - (iii) where a case is proven, to apply the penalty in accordance with the University penalty tariff;
  - (iv) to notify the student(s) of the outcome in writing;
  - (v) to report all proven decisions and the penalties applied to the relevant Board of Examiners;
  - (vi) the proceedings of the AMP will be formally minuted.
- UG.C5.5.3 Membership of the AMP or a process for determining the membership of an AMP will be approved by the Director of School (or nominee) prior to the AMP. The Panel will comprise three members of academic

staff, two of whom, including the Chair, must not be significantly associated with the student. The Assistant Academic Registrar should not be a member of the AMP. At least one member will be independent of the programme team.

- UG.C5.5.4 It is the responsibility of the AMP to consider the allegation and the evidence presented. Where any academic misconduct (as defined in UG.C5.1) is proven, the AMP will apply the penalty in accordance with the University's agreed penalty scheme (see UG.C5.5.7). Where evidence of academic misconduct becomes available subsequent to a meeting of a Board of Examiners, the University has the right to investigate/reopen the matter and to determine the outcome(s) according to the circumstances.
- UG.C5.5.5 In the event of a student being suspected of cheating in more than one examination during the same examination period all suspected cases will be considered at the same AMP. If the cheating is proven the penalty points for prior offences will be applied.
- UGC5.5.6 In cases of alleged collusion all suspected students will be called to an AMP. In the event that one or more students is deemed to have given their work to one or more other students the former students will be subject to disciplinary procedures and the latter students will be subject to the AMP penalty tariff, if the misconduct is proven.
- UG.C5.5.7 Academic Misconduct penalties are calculated on a points-based tariff as follows:

Banding	Points	Penalty
AMP1	Up to 39	Zero for assessment component
AMP2	40 - 69	Zero for assessment component and module mark capped
AMP3	70 - 89	Zero for all module assessment components
AMP4	90 - 99	Zero for all module assessment components and no referral allowed
AMP5	100+	Recommend expulsion

- UG.C5.5.8 The Board of Examiners will implement the penalty and consider its recommendations thereafter. Where the penalty tariff permits, the Board may include offering a referral or an exceptional second referral in a module failed after the application of a penalty. Where the penalty tariff permits re-submission of work, the Board of Examiners must ensure that the student is made aware of the assignment or re-examination requirements and the relevant submission date(s).
- UG.C5.5.9 If the AMP finds the breach of assessment regulations may involve a breach of the University's disciplinary code, it will refer the matter to the Student Governance Office for consideration under the Disciplinary Procedure.
- UG.C5.5.10 Students have the right to appeal against the decision of an AMP in accordance with the procedures outlined in UG.C9.
- UG.C5.6 Further details about AMP procedures and the penalty tariff can be found here <u>https://www.ljmu.ac.uk/about-us/public-</u> information/student-regulations/academic-misconduct

#### UG.C6 Boards of Examiners

- UG.C6.1.1 For each programme leading to a validated award of the University, the Academic Board establishes Boards of Examiners according to the approved assessment regulations. Boards of Examiners receive reports listing the awards to which students are entitled and the class / grade. Additionally, a Board will consider recommending exit awards to students unable to progress to their target award. The Board will formally agree the awards to be recommended and the Chair and External Examiner will sign the Awards Recommendation List. Students will be advised of the awards recommended by the Board of Examiners in line with the published deadline.
- UG.C6.1.2 Where a student has been recommended for expulsion as a consequence of disciplinary procedures or academic misconduct the

Board of Examiners will receive and consider a recommendation as to whether an award should be withheld. In exceptional circumstances and subject to verifiable evidence, a Board may consider recommending that an award of the University is not conferred upon a student where the student's behaviour represents a serious breach of the University's Code of Behaviour.

- UG.C6.1.3 All recommended awards are subject to the conferral of the Academic Board, on a monthly basis. Awards completed on the student record system in any month will be formally conferred on the 1<sup>st</sup> of the following month and will carry a conferral date of the month in which the award was completed.
- UG.C6.1.4 The Academic Board of the University confers awards upon students as they complete their programmes of study, not retrospectively for credit gained by former students in previous years.
- UG.C6.2 Prior to a Board of Examiners, the Director is responsible for ensuring:
  - that procedures are followed with regard to the consideration and approval of the form and content of all summative assessments that count towards the assessment of the programme and its module(s);
  - (ii) the completion of the moderation process;
  - (iii) that marks achieved by students for each summative assessment task are finalised by the deadline;
  - (iv) the involvement of the External Examiners in the moderation process.

#### UG.C6.3.1 The moderation process

In relation to summative assessment moderation is a process to ensure that marking is consistent, fair and upholds academic standards. In ensuring consistency, fairness and maintenance of academic standards module and programme teams must follow the University moderation procedures as described in University policy.

- UG.C6.3.2 The module leader must complete the module mark verification interface to:
  - (i) confirm accuracy of marks;
  - (ii) confirm that moderation has taken place in line with the moderation policy, including the involvement of the External Examiner;
  - (iii) record of the number of extensions, reasons for the extensions and a record of the days of each extension.
    Reasons for, and any patterns in, the granting of extensions should be evaluated and comments recorded;
  - (iv) record the number of agreed alternative assessments.
    Reasons for, and any patterns in, the granting of alternative assessments should be evaluated and comments recorded;
  - (v) identify any changes made as a result of moderation.
- UG.C6.4.1 The functions and terms of reference of a Board of Examiners are to:
  - (i) confirm that moderation has taken place in line with the moderation policy;
  - (ii) ensure that students are assessed in accordance with the approved regulations and procedures;
  - (iii) formally confirm the marks of all students;
  - (iv) ensure the maintenance of appropriate standards of assessment;
  - (v) note the decisions of the Personal Circumstances Panel regarding personal circumstances / special mitigation claims and confirm any requirements for deferred assessment(s);
  - (vi) determine any referral requirements for all students failing (a) module(s);
  - (vii) agree exceptional second referrals, final module attempts and alternative exit awards where students are eligible (making decisions about students who have exhausted their entitlement to re-assessment);
  - (viii) review and comment on any variation in student work;
  - (ix) review and comment on any variation in marks between assessment items within the module;

- (x) review the effectiveness of the module assessment criteria and marking scheme;
- (xi) make any recommendations for the improvement of modules and programmes in terms of supporting student engagement with the academic discipline that they are studying;
- (xii) analyse module performance on a longitudinal basis, where such data are available;
- (xiii) compare module outcomes against programmes on a module by level basis, against statistics (e.g. mean mark and range) of similar modules and programmes in the School and across the University.
- UG.C6.4.2 In particular Boards of Examiners:
  - agree and implement the decisions of the Personal Circumstances Panel regarding personal circumstances / special mitigation claims;
  - (ii) implement the decisions from Academic Misconduct Panels;
  - (iii) reconsider an earlier decision if required by an Academic Appeal Panel;
  - (iv) make decisions on level completion and progression;
  - (v) make recommendations to Academic Board on the award, and category of award, to be conferred upon individual students.

# UG.C6.5 Membership of Boards of Examiners

Boards of Examiners will comprise:

- (i) Chair, School Director responsible for the programme(s) or nominee with approval from the PVC (Education);
- (ii) Secretary;
- (iii) the Programme Leader/Subject Leader(s) of those programmes under discussion;
- (iv) all leaders of modules which contribute to the programme(s) which are to be considered at the Board. Alternates in attendance must be approved by the Chair prior to the Board;
- (v) Assistant Academic Registrar or alternative representative from the approved pool of Academic Registry staff;

- (vi) An External Examiner(s) for each of the programmes under consideration;
- (vii) Administration Support Manager or nominated alternate;
- (viii) Staff, including the link tutor where relevant, from the wider teaching and administrative teams associated with the programmes under discussion.
- UG.C6.6 Members of Boards of Examiners who have a relationship with a student being assessed, must declare an interest to the Chair and withdraw from the Board for the duration of the discussion regarding said student.
- UG.C6.7 The Vice Chancellor or his/her nominee will have the right to attend all meetings of Boards of Examiners, but will not be members. At the discretion of the Chair a non-member of the Board may be permitted to attend a meeting of the Board but will not be a member of the Board.
- UG.C6.8 The following are required for a Board of Examiners to be quorate:
  - (i) Chair; the School Director responsible for the programme(s) under consideration or approved nominee (with approval from the PVC (Education);
  - (ii) Secretary;
  - (iii) the Programme Leader/Subject Leader(s) of those programmes under discussion. Alternates in attendance must be approved by the Chair prior to the Board;
  - (iv) all leaders of modules which are to be considered at the Board. Alternates in attendance must be agreed by the Chair prior to the Board;
  - (v) Assistant Academic Registrar or alternative representative from the approved pool of Academic Registry staff;
  - (i) An External Examiner(s) for each of the programmes under consideration. Where Boards are considering re-assessments only or where the majority of the cohort has been considered by a previous Board, the presence of one External Examiner will suffice.

If the Board of Examiners is inquorate the Vice Chancellor may agree to a waiver to the requirement for a member's attendance at a Board provided that formal arrangements are made to ensure that the decision-making process can proceed to completion.

UG.C6.9 Each Board of Examiners is advised by the Personal Circumstances Panel, whose role is to review applications for personal circumstances / special mitigation and to make appropriate decisions that are reported to the Board of Examiners (see UG.C4.5).

The Personal Circumstances Panel is quorate when the Chair and at least two other members of academic staff are present.

- UG.C6.10 The discussions of a Board of Examiners are confidential. Claims of personal circumstances and special mitigation must be treated with due sensitivity and confidentiality.
- UG.C6.11 The Board of Examiners must be minuted formally and minutes must be available within one week of the meeting. The minutes must contain:
  - (i) a formal record of the completion of moderation of all modules attempted by students that were considered by the Board;
  - (ii) the progression status of each student;
  - (iii) a record of the names of students for whom personal circumstances or special mitigation were considered by the Personal Circumstances Panel and the decisions taken as a result;
  - (iv) a record of the decisions taken by the Academic Misconduct Panels;
  - (v) a record of any academic appeals that have been referred back to the Board;
  - (vi) a record of extensions; any patterns should be evaluated and comments recorded;
  - (vii) a record of assessment waivers;

- (viii) a record of any alternative assessments that have been provided; any patterns should be evaluated and comments recorded;
- (ix) a record of any deferral or referral requirements and when the next opportunity for assessment will occur;
- (x) confirmation of awards recommended to the Academic Board for conferral;
- (xi) a record of any prizes awarded;
- (xii) any recommendations for the improvement of modules and programmes;
- (xiii) analysis of module performance;
- (xi) any issue of principle requiring further consideration by the Faculty Quality Assurance and Enhancement Committee.
- UG.C6.12 All decisions/recommendations of Boards of Examiners on individual students within its terms of reference are final, except in the case of appeals (see UG.C9). The Board may be reconvened if required to by the Academic Board.

#### UG.C7 Managing Student Progression

- UG.C7.1 Students are required to attempt all items of summative assessment at the appointed time. Failure to do so will be deemed by the Board of Examiners to constitute failure in that assessment component unless there is some cause found valid on production of acceptable evidence in accordance with UG.C4.
- UG.C7.2 The marks of the assessment items within each module are aggregated and a module mark produced for notification to the Board of Examiners. The progress of each student will be considered by a Board at least once per academic year.
- UG.C7.3 Level completion is achieved when a student has gained the number of credits required at that level. Credit must be achieved in modules required at all levels for the registered award programme. Students cannot retake successfully attained modules.

- UG.C7.4 For full-time students level completion and progression are synonymous. In order to progress from one level to the next a fulltime undergraduate student must:
  - (i) have 120 credits at the level under consideration; or
  - (ii) be granted an Exceptional Second Referral in no more than 24 credits (see UG.C7.17); or
  - (iii) be granted a module deferral(s) in no more than 24 credits (see UG.C4.6); or
  - (iv) be required to undertake referral with attendance in no more than 24 credits in the following year (see UG.C7.11).

Students who have not achieved at least 96 credits will not be allowed to progress to the next level. Such students will be counselled by the Programme Leader or nominated deputy, as to the options available to them. A level mark will be calculated once a student attempts level completion (i.e. consideration is given to assessment performance in all modules required at that level).

- UG.C7.5 All students have the right to one referral opportunity in any failed module(s) unless compensation has been awarded. In certain circumstances (see UG.C7.15 & UG.C7.17), a third attempt (either a Final Module Attempt or Exceptional Second Referral) may be permitted. No further attempts are permitted.
- UG.C7.6 Performance at a level is indicated by the Level Mark. The Level Mark is calculated as follows:
  - (i) Marks from the core modules, and
  - (ii) Marks from the best of the option modules not exceeding the total option credits required.

The designation of the module is that defined by the programme specification. Each module mark is weighted by the credit rating of the module.

- UG.C7.7 Failure within a level will be compensated in the light of overall performance at that level according to the following criteria:
  - (i) the "qualifying Level Mark" (the credit weighted average of the level inclusive of the true value of assessments undertaken at

referral prior to the capping of the module mark) must be at least 45% and

- (ii) a mark of at least 30% or other compensatable grades must have been achieved in failed modules. In cases where compensation is applied, credits will be awarded to eligible modules but the mark/grade for such modules will not be changed and
- (iii) 80% of the target credit at the level must have been passed, in which case up to 20% of the target credit may be compensated.
- UG.C7.8 Specific award programme rules may, exceptionally, modify the requirements for, or exclude, compensation as defined above. Such rules are recorded in the programme specification. Individual programme rules may preclude the award of credit by compensation in some, or all, modules.
- UG.C7.9 Students must be advised of the implications of compensation for progression. Students must be made aware that failure in core modules, which are pre-requisites for certain named programmes, will have to be retrieved before the student starts the next level of such a programme (UG.C7.4). Compensation credit, once awarded, cannot be revoked by the student except in the circumstances specified in regulation UG.C7.16.
- UG.C7.10 Students retrieve their failure by resitting or resubmitting the required element of the assessment (referral or deferral). The content and form of the referral/deferral are determined by the Module Leader, approved by the External Examiner and reported to the relevant Board of Examiners. The form of assessment for referral may be different from the original assessment task and any previously successful elements (marks or submitted materials) of a module will be carried forward to count toward a referral attempt.
- UG.C7.11 A referral opportunity is normally scheduled within the academic year of the first sitting of the assessment. Exceptionally, the Board of

Examiners may require students to undertake certain modules again, with attendance, as part of a deferral opportunity.

- UG.C7.12 The pass mark for the referral attempt is the same as the pass mark for the initial attempt, except where the pass mark for the subsequent attempt is different as a consequence of referral in a new module or module version. The maximum module mark achievable from referrals is 40% or the minimum pass mark for the module, whichever is the higher; the maximum module grade achievable is pass.
- UG.C7.13 The actual mark achieved is reported to the Board of Examiners. The maximum mark used in calculation for the Level Mark is 40% or the minimum pass mark for the module, whichever is the higher. If the mark/grade achieved at referral is below that achieved previously then the earlier mark/grade is considered by the Board.
- UG.C7.14 Wherever possible, the University will provide referral opportunities in modules which are no longer current, but under exceptional circumstances may be unable to guarantee this as a right. The Programme Leader must make such special arrangements, in consultation with the External Examiner, as appropriate in cases where it is not practicable for students to be referred in the same module or module component.
- UG.C7.15 Any student who has exhausted the referral opportunities offered by the regulations may be withdrawn from that programme of study. If a student attains between 60 and 95 credits within a level, they will be offered the opportunity to re-register for a Final Module Attempt (FMA) upon the failed module(s) provided that they have not completed a previous level via this mechanism. If a student attains fewer than 60 credits within a level, they may be offered the opportunity to re-register for a Final Module Attempt upon the failed modules provided that they have not completed a previous level via this mechanism, that the Board of Examiners has reason to be confident in the student's engagement and that there is evidence of this. An FMA will be a single attempt (therefore there can be no

subsequent referral). The FMA will be a new attempt with attendance and no marks will be carried forward from previous attempts. The maximum mark obtainable from an FMA is the module pass mark.

- UG.C7.16 Where a student has achieved the final award for which he/she is registered and this includes a number of credits awarded by compensation, a student does not have the right of referral in these modules. A student has the right to a referral opportunity in failed modules whose credits have subsequently been awarded by compensation only when:
  - (i) her/his opportunities for referral have not been exhausted and
  - (ii) the module carries professionally exempting status.
- UG.C7.17 Students who have failed the single referral opportunity will be allowed an Exceptional Second Referral on the same module(s), up to 24 credits at any Level, provided that 96 credits at that level have been achieved.
- UG.C7.18 The maximum mark that may be gained from an Exceptional Second Referral is 40% or the minimum pass mark for the module(s), whichever is the higher. Any previous successful elements (marks or submitted materials) of a module(s) will be carried forward upon an Exceptional Second Referral.
- UG.C7.19 It is the responsibility of the Director to ensure results are disclosed confidentially to individual students following a Board of Examiners meeting. The written notification shall be issued in accordance with the published results release date. Results must not be disclosed to students or any representative of them by any member of staff outside of this formal process. Directors must ensure that all students who have been referred or have failed are informed, in writing, of their rights of referral and the consequences for progression. All students must have the opportunity to seek appropriate and timely\_guidance from teaching staff.

# UG.C8 Classification and Grading of Awards

- UG.C8.1 The class of degree will be based upon the Award Mark. The weightings are by credit value and by level. The marks achieved at Level 5 will be weighted at 25%, and those at Level 6 by 75%. The Award Mark is calculated as follows:
  - marks from the best 80% of the 120 credits achieved at Level 6 (where the lowest mark does not relate to a single 24 credit module, the relevant proportion of the lowest module mark will be discounted) and from all 120 credits achieved at Level 5;
  - (ii) where marks from fewer than 120 Level 5 credits are available the average from those mark bearing credits at Level 5 that are available becomes the Level Mark unless marks from fewer than 60 Level 5 credits are available, in which case the Award Mark is based upon Level 6 alone (see UG.A2.4);
  - (iii) only modules carrying a numerical mark (i.e. not a pass/fail grade) may contribute towards the classification. All Level 6 credits contributing to an award must be mark-bearing.
- UG.C8.2 The class of degree will be at least that indicated by the Award Mark, according to the established percentage band equivalents rounded to the nearest whole integer:
  - <40% = fail 40 - 49% = third class 50 - 59% = lower second class 60 - 69% = upper second class =>70% = first class
- UG.C8.3 A student will be awarded the higher classification of degree where:
  - (i) the Award Mark is 1% below the classification boundary and
  - (ii) more than half of the contributing mark-bearing credits at Level 6 are in a class above that indicated by the Award Mark.
- UG.C8.4 Students failing to achieve the credits required for an Honours award may be offered alternative awards requiring fewer credits, providing all necessary conditions for such awards have been fulfilled.

Acceptance of an alternative award removes the right to referral in failed modules. Students may, however, refuse the alternative exit award and exercise their right to be referred in failed modules with a view to obtaining an Honours award.

- UG.C8.5.1 The classification of an integrated Master's Degree will include marks from the best 80% of the 120 credits achieved at Level 7, from all 120 credits achieved at Level 6 and from all 120 credits achieved at Level 5. Where marks from fewer than 120 Level 5 credits are available the average from those mark bearing credits at Level 5 that are available becomes the Level Mark unless marks from fewer than 60 Level 5 credits are available, in which case Level 5 is discounted and the Award Mark is calculated on the basis of a 1:2 ratio from Levels 6 and 7 respectively (see UG.A2.4).
- UG.C8.5.2 Only modules carrying a numerical mark (i.e. not a pass/fail grade) may contribute towards the classification. All Level 6 and Level 7 credits contributing to an award must be mark bearing.
- UG.C8.5.3 The class of degree will be based upon the Award Mark. In the normal circumstances, the Award Mark is the weighted average of Level 5, Level 6 and Level 7 marks. The weightings are by credit value and by level. Marks achieved at Level 5 will be weighted at 10%, from Level 6 at 30% and those at Level 7 at 60%. Students will be awarded the higher classification of degree where the Award Mark is 1% below the higher classification boundary <u>and</u> the majority of the contributing 80% of the 120 credits at Level 7 are in a class above that indicated by the Award Mark.
- UG.C8.5.4 Students failing to achieve the credits required for an integrated Master's award may be offered alternative awards requiring fewer credits, providing all necessary conditions for such awards have been fulfilled. Acceptance of an alternative award removes the right to referral in failed modules. Students may refuse the alternative exit award and exercise their right to be referred in failed modules with a view to obtaining an integrated Master's award.

- UG.C8.6.1 The grading of a non-honours award includes marks from all credits achieved within the programme. Only modules carrying a numerical mark (i.e. not a pass/fail grade) may contribute towards the grading and the divisor is amended to accommodate non mark-bearing credit.
- UG.C8.6.2 For all such awards the grade is based upon the Award Mark, as follows:
  - a Distinction grade is awarded when a student achieves an Award Mark of at least 70%;
  - (ii) a Merit grade is awarded when a student achieves an Award Mark of between 60 and 69%.
- UG.C8.7 Where there is insufficient evidence to determine the recommendation of an award but the Board of Examiners is nevertheless satisfied that the student would have qualified for the award had it not been for death, permanent incapacity, illness or other valid cause, an aegrotat award may be recommended. Aegrotat awards do not carry any classification, distinction or merit. The aegrotat degree is an unclassified degree. The award of an aegrotat removes the right of any further assessment opportunity for the registered final award. Other than in cases of death or permanent incapacity, the student must have signified that s/he is willing to accept the award under this condition.
- UG.C8.8 Where the normal conditions of any award of the University have been satisfied, that award may be accepted posthumously on the student's behalf by a parent, partner or other appropriate individual.
- UG.C9 Academic Appeals and Academic Misconduct Appeals Appeal forms and further guidance on the Appeals procedure can be found at: <u>https://www.ljmu.ac.uk/about-us/public-</u> information/student-regulations/student-appeals

- UG.C9.1 Grounds for Appeal: Students may appeal the decision of a Board of Examiners\*, Academic Misconduct Panel or Personal Circumstances Panel, where it can be demonstrated that:
  - (i) that there has been a material administrative error or
  - (ii) that the assessment, in whatever format, was not conducted in accordance with these regulations or
  - that some other material irregularity has occurred or that the decision of the Personal Circumstances Panel in considering a personal circumstances claim was unreasonable.
  - \* Exceptionally, students may also appeal the decision of a Module Leader where marks / grades have been formally finalised but have not yet been approved by a Board of Examiners.
- UG.C9.2 Disagreement with the academic judgement of a Board of Examiners in assessing an individual piece of work or in reaching a decision on a student's progression or on the final level of award, based on the marks, grades and other information relating to a student's performance, cannot in itself constitute grounds for an Academic Appeal. Students must be aware that appeals will only be accepted under the circumstances outlined above. Appeals that do not meet the criteria will be deemed ineligible.
- UG.C9.3 Submission Deadlines: There are strict deadlines for the submission of an Academic Appeal, Academic Misconduct Appeal or Personal Circumstances Panel Appeal. Students must lodge the appeal with the Student Governance Office, using the current form, within 10 working days of the formal release of the relevant results ornotification of the outcome of the Academic Misconduct Panel / Personal Circumstances Panel. The University reserves the right to reject appeals if they are submitted outside the specified deadline.
- UG.C9.4 Process Summary: The University operates a three stage appeal procedure. Students will be formally notified of the outcome of each stage of the appeal process, the reason for the decision and any action to be taken, as appropriate. Where an appeal is upheld, then the matter will be:

- Referred back to the Director of School for module where marks have been finalized but not yet considered by the Board of Examiners or
- Referred back to the relevant Board of Examiners for reconsideration in light of the findings or
- Referred to a new Academic Misconduct Panel or
- Referred back to the Personal Circumstances Panel. Where the appeal is not upheld, students will be advised of the procedure to progress to the next stage.
- UG.C9.5 <u>Stage 1</u>: Consideration of Appeal by Director of School (or nominee). The Director of School (or nominee) is responsible for ensuring that consideration of Stage 1 Academic Appeals,Academic Misconduct Appeals and Personal Circumstances Panel Appeals is conducted fairly and within the appropriate timescales, normally within 15 working days of the receipt of the appeal. Where an appeal is being made in respect of modules from more than one School the Director (or nominee) of the appellant's Home School will consider the appeal, taking into account evidence from any other School(s) involved.
- UG.C9.6 The response to the Stage 1 Appeal must include an explanation in support of the decision. Respondents to appeals are expected to be aware of and to avert potential conflict of interest and perception of bias. Therefore no member, including the Chair, of a Board of Examiners, Academic Misconduct Panel or Personal Circumstances Panel against the decision of which an appeal is lodged, may respond to the Stage 1 appeal.
- UG.C9.7 The Director (or nominee) will respond to the appeal with the outcome reported to the Student Governance Office within the timescales outlined in UG.C9.5.
- UG.C9.8 <u>Stage 2</u>: Consideration by the University Appeals Panel. Where an appeal is not upheld at Stage 1 and the student believes that the decision is incorrect (in accordance with UG.C9.1 and UG.C9.2), they may submit their appeal to Stage 2 for consideration by the

University Appeals Panel. Students must submit a statement detailing why they believe the Stage 1 decision is incorrect with their request to progress to Stage 2. The Stage 2 request and supporting statement will be forwarded to the School (by the Student Governance Office). The School will be given the opportunity to comment in writing on the Stage 2 statement. All documentation is then considered by the Appeals Panel.

# UG.C9.9 <u>Stage 3</u>: Final Review Stage.

Where an appeal about either a Board of Examiners' decision, an Academic Misconduct Panel decision or a Personal Circumstances Panel decision is not upheld at Stages 1 and 2 and the student believes that the appeals procedures have not been conducted properly then s/he has the right to proceed to Stage 3 of the procedure. Stage 3 is not a re-opening of the appeal and the student must provide evidence of procedural Irregularity and/or a supporting statement detailing why they believe the decision is incorrect.

- UG.C9.10 A Completion of Procedures letter will be issued to the student when all internal procedures are exhausted. All internal procedures will normally be completed within 90 calendar days of the start of the formal stage (stage 1 appeal).
- UG.C9.11 Students who are dissatisfied with the final outcome of their appeal and believe that the University has failed to follow this procedure correctly, may take their case to the Office of the Independent Adjudicator for Higher Education. Further information about the OIA can be found at: <u>http://www.oiahe.org.uk/</u>
- UG.C9.12 The Appeals Panel: An Appeals Panel is established by the Academic Board as a sub-committee of the Board. The membership of the Panel (a pool of staff from which panel members are drawn for each meeting of an appeals panel) is:
  - (i) a Chair, normally drawn from the senior management of the University;
  - (ii) at least five members of the academic staff of each Faculty;

- (iii) at least five members of the Faculty management team from each Faculty (who may be non-teaching staff);
- (iv) at least five members of staff drawn from other areas of the University;
- (v) two students appointed by the Students' Union.

No member shall take part in an Appeals Panel meeting if s/he is substantially connected with the School or programme which is the subject of the appeal, or with the appellant. The quorum for a meeting of the Appeals Panel is the Chair, at least one member of the academic representation and any two other members of the Appeals Panel. All meetings of the Appeals Panel will be formally minuted and serviced by the Student Governance office. The acceptance of an award does not limit the student's right to pursue an appeal within the provisions of these regulations. Full details of the Academic Appeals procedures can be found on https://www.ljmu.ac.uk/about-us/public-information/studentregulations/student-appeals

UG.C9.13 Appeals against Expulsion: Where a student has been notified of the decision to expel them from the University, the student has a right of appeal to the Board of Governors. The request for such an appeal must be made in writing, giving full supporting evidence, to the University Secretary within 10 working days of receipt of the decision and giving grounds for appeal - <u>https://www.ljmu.ac.uk/about-us/public-information/student-regulations/guidance-policy-and-process</u>